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Stable determination of a Lamé coefficient by one internal measurement of displacement

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ABSTRACT

In this paper we show that the shear modulus μ of an isotropic elastic body can be stably recovered by the knowledge of one single displacement field whose boundary data can be assigned independently on the unknown elasticity tensor.

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1. Introduction

In this paper we consider the following problem: let $\Omega \subset \mathbb{R}^n$, $n \geq 2$ be a bounded domain representing an elastic isotropic body with Lamé coefficients λ and μ . Assuming λ is known, we want to stably recover the shear modulus μ from the knowledge of one single displacement field in Ω , that is a solution $u \in (H^1(\Omega))^n$ to the elasticity system

$$\operatorname{div}(\mathbb{C}\hat{\nabla}u) = 0 \text{ in } \Omega$$

where

$$\mathbb{C}\hat{\nabla}u = \lambda \operatorname{div}(u)I_n + \mu\hat{\nabla}u,$$

with I_n being the $n \times n$ identity matrix and $\hat{\nabla}u = \frac{1}{2}(\nabla u + (\nabla u)^T)$.

This problem is connected to the imaging method usually called Elastography. The most common approach to Elastography consists in a 2-step reconstruction. In the first step the elastic displacement is

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recovered from either sound waves (Ultrasound Elastography) or protons’ propagation (Magnetic Resonance Elastography) (see [9] for a general review on hybrid inverse problems). In the present analysis we study the second step, namely the quantitative reconstruction of Lamé parameters from the knowledge of elastic displacements.

This problem has recently been studied in [10] and [18] for isotropic elasticity tensors in the time harmonic regime, and in [11] for the anisotropic case at zero frequency. In these papers a key point in the proof of unique and stable reconstruction consists in looking for few displacement fields satisfying a rank maximality condition concerning their gradients. Existence of such non degenerate sets of solutions is usually proven by using density arguments (such as Runge approximation) or CGO solutions. Unfortunately, it is not possible to choose a-priori boundary data of these particular solutions, since they depend on the interior values of the unknown elasticity tensor. For an analysis of this issue in the scalar case we refer to [13] and [1]. For an optimization approach to the identification of Lamé coefficients and to the elastography inverse problem the interested reader can refer to [14] and [16].

For this reason, following the method used in [3] and [8], we propose here the choice of boundary values in such a way that the degeneracy of ∇u can be controlled by quantitative estimates of unique continuation. We point out that this stability estimate is obtained with only one internal measurement. On the other hand, here we focus our attention only on the shear modulus μ and assume that λ is known. This is not a big restriction for the possible application of our result, because the shear modulus μ is the parameter that changes more between healthy and damaged tissues (see [20]).

The paper is organized as follows: in section 2 we list the main notations and assumptions; in section 3 we formulate the problem and state our result. The main tools of our analysis are an integral stability estimate (section 4) and quantitative estimates of unique continuation (section 5). Finally, section 6 contains the proof of our result.

2. Preliminary assumptions

We denote points in \mathbb{R}^n by $x = (x', x_n)$ where $x' \in \mathbb{R}^{n-1}$ and $x_n \in \mathbb{R}$. Analogously, we denote by Ω' the set of points in \mathbb{R}^{n-1} such that (x', x_n) belong to Ω for some x_n .

Assumption 2.1. We assume that Ω , a bounded domain in \mathbb{R}^n with $n \geq 2$, is a domain with $C^{1,1}$ boundary, that is, for any $x_0 \in \partial\Omega$ there exists a rigid change of coordinates such that, $x_0 = 0$ and

$$\Omega \cap B_{r_0}(0) = \{x \in B_{r_0}(0) : x_n > \psi(x')\},$$

where ψ is a $C^{1,1}$ function defined in $B'_{r_0}(0)$ such that $\psi(0) = 0$ and

$$\|\psi\|_{C^{1,1}(B'_{r_0}(0))} \leq M_0.$$

As usual, for any $d > 0$, we set

$$\Omega_d = \{x \in \Omega : \text{dist}(x, \partial\Omega) > d\}.$$

In the sequel we deal with the Lamé coefficients λ and μ , on which we posit the following assumptions.

Assumption 2.2.

$$\begin{cases} \mu, \lambda \in C^{0,1}(\bar{\Omega}) \\ \|\mu\|_{C^{0,1}(\bar{\Omega})} + \|\lambda\|_{C^{0,1}(\bar{\Omega})} \leq M \end{cases} \tag{2a}$$

$$\mu(x) \geq \alpha_0 > 0, \quad 2\mu(x) + n\lambda(x) \geq \beta_0 > 0 \text{ in } \bar{\Omega} \quad (\text{strong convexity}) \tag{2b}$$

We also assume

Assumption 2.3. The function g belongs to $H^{3/2}(\partial\Omega)$, and

$$\|g\|_{H^{3/2}(\partial\Omega)} \leq L_0. \tag{1}$$

Moreover we assume that g is far from rigid movements, that is, given

$$\Theta(g) := \min\{\|g - (a + Wx)\|_{H^{1/2}(\partial\Omega)} : a \in \mathbb{R}^n, W \in \mathbb{R}^{n \times n}, W + W^T = 0\}$$

we assume that

$$\Theta(g) \geq \delta_0 > 0. \tag{2}$$

In the sequel we will use the following frequency of function g :

Definition 2.1. For any $g \in H^1(\partial\Omega)$, we set

$$F[g] = \frac{\|g\|_{H^1(\partial\Omega)}}{\Theta(g)}$$

For any function g satisfying [Assumption 2.3](#), we have $F[g] \leq L_0\delta_0^{-1}$.

3. Formulation of the problem and main result

Let us consider functions μ_1, μ_2 and λ such that (μ_1, λ) and (μ_2, λ) satisfy assumptions (2a) and (2b). Let u be the solution of the problem

$$\begin{cases} \operatorname{div}(\mathbb{C}_1 \hat{\nabla} u) = 0 & \text{in } \Omega \\ u = g & \text{on } \partial\Omega \end{cases} \tag{3}$$

where

$$\mathbb{C}_1 \hat{\nabla} u = \lambda \operatorname{div}(u)I_n + \mu_1 \hat{\nabla} u,$$

and let v be the solution of the problem

$$\begin{cases} \operatorname{div}(\mathbb{C}_2 \hat{\nabla} v) = 0 & \text{in } \Omega \\ v = k & \text{on } \partial\Omega \end{cases} \tag{4}$$

where

$$\mathbb{C}_2 \hat{\nabla} v = \lambda \operatorname{div}(v)I_n + \mu_2 \hat{\nabla} v.$$

Now we are ready to state our main result.

Theorem 3.1. *Let $d > 0$ be such that $\Omega_d \neq \emptyset$. Then*

$$\|\mu_1 - \mu_2\|_{L^\infty(\Omega_d)} \leq C \left(\|\mu_1 - \mu_2\|_{L^\infty(\partial\Omega)} + \|u - v\|_{L^2(\Omega)}^{1/4} \right)^\delta \tag{5}$$

where the constants $C > 0$ and $\delta \in (0, 1)$ depend only on $M_0, |\Omega|, r_0, d, M, \alpha_0, \beta_0, L_0$ and δ_0 .

4. An integral estimate

Lemma 4.1. *Let u and v be as in (3) and (4). Moreover, let Assumptions 2.1 and 2.2 hold true. Then, there exists a positive constant C depending only on $M_0, r_0, M, \alpha_0, \beta_0, \|g\|_{H^{3/2}(\partial\Omega)}$ and $\|k\|_{H^{3/2}(\partial\Omega)}$ such that*

$$\int_{\Omega} |\mu_1 - \mu_2| |\hat{\nabla}u|^2 dx \leq C \left(\|\mu_1 - \mu_2\|_{L^\infty(\partial\Omega)} + \|u - v\|_{L^2(\Omega)}^{1/4} \right). \tag{6}$$

In the sequel we will use the following notation. The dot between vectors is the scalar product while the dot between matrices is the product in the sense of Frobenius.

Proof of Lemma 4.1. Let $u, v \in W^{1,2}(\Omega)$ be a solutions of (3) and (4), respectively.

Let us set

$$\varphi := \mu_1 - \mu_2, \quad \eta := \max_{\partial\Omega} |\mu_1 - \mu_2|.$$

By comparing the weak formulations of problems (3) and (4) we easily get

$$\int_{\Omega} \varphi \hat{\nabla}u \cdot \hat{\nabla}\zeta dx = -\frac{1}{2} \int_{\Omega} \left(\lambda \operatorname{div}(u - v) \operatorname{div} \zeta + 2\mu_2 \hat{\nabla}(u - v) \cdot \hat{\nabla}\zeta \right) dx, \tag{7}$$

for every $\zeta \in W_0^{1,2}(\Omega)$.

To show the inequality we follow the route traced by [2] by choosing a suitable test function. For $h > 0$ set

$$\zeta(x) = \frac{\min\{(\varphi - \eta)^+, h\}}{h} u(x) \tag{8}$$

as our test function. We can easily check that

$$\zeta(x) = \begin{cases} 0 & \text{if } \varphi \leq \eta \\ \frac{\varphi - \eta}{h} u & \text{if } \eta < \varphi \leq \eta + h \\ u & \text{if } \varphi > \eta + h. \end{cases}$$

Let us first consider the LHS of (7) with test function given by (8). We have

$$\begin{aligned} \int_{\Omega} \varphi \hat{\nabla}u \cdot \hat{\nabla}\zeta dx &= \int_{\eta < \varphi < \eta + h} \varphi \hat{\nabla}u \cdot \hat{\nabla} \left(\frac{\varphi - \eta}{h} u \right) dx + \int_{\varphi > \eta + h} \varphi |\hat{\nabla}u|^2 dx \\ &= \frac{1}{2h} \int_{\eta < \varphi < \eta + h} \varphi \hat{\nabla}u \cdot [\nabla\varphi \otimes u + u \otimes \nabla\varphi] dx \\ &\quad + \frac{1}{h} \int_{\eta < \varphi < \eta + h} \varphi(\varphi - \eta) |\hat{\nabla}u|^2 dx + \int_{\varphi > \eta + h} \varphi |\hat{\nabla}u|^2 dx \end{aligned} \tag{9}$$

Let us now focus on the integral

$$I = \frac{1}{2h} \int_{\eta < \varphi < \eta + h} \varphi \hat{\nabla}u \cdot [\nabla\varphi \otimes u + u \otimes \nabla\varphi] dx. \tag{10}$$

By using the fact that, for any symmetric matrix A and for any vector b and c $A \cdot [b \otimes c + c \otimes b] = 2b^T A c$,

$$\begin{aligned} \frac{1}{2} \varphi \hat{\nabla} u \cdot [\nabla \varphi \otimes u + u \otimes \nabla \varphi] &= (\nabla \varphi)^T \varphi u \hat{\nabla} u = \frac{1}{2} (\nabla \varphi^2)^T \hat{\nabla} u u \\ &= \frac{1}{2} \operatorname{div}[\varphi^2 \hat{\nabla} u u] - \frac{1}{2} \varphi^2 \operatorname{div}(\hat{\nabla} u u) \\ &= \frac{1}{2} \operatorname{div}[\varphi^2 u \hat{\nabla} u] - \frac{1}{2} \varphi^2 \operatorname{div}(u \hat{\nabla} u). \end{aligned} \tag{11}$$

Let us denote by ν_c the unit outer normal to the set $\{\varphi > c\}$ and let us apply twice Green formula to obtain

$$\begin{aligned} I &= \frac{1}{2h} \left\{ \eta^2 \int_{\varphi=\eta} (u \hat{\nabla} u) \cdot \nu_\eta \, ds_x - (\eta + h)^2 \int_{\varphi=\eta+h} (u \hat{\nabla} u) \cdot \nu_{\eta+h} \, ds_x \right\} \\ &\quad - \frac{1}{2h} \int_{\eta < \varphi < \eta+h} \varphi^2 \operatorname{div}(u \hat{\nabla} u) \, dx \\ &= \frac{1}{2h} \left\{ \eta^2 \int_{\varphi > \eta} \operatorname{div}(u \hat{\nabla} u) \, dx - (\eta + h)^2 \int_{\varphi > \eta+h} \operatorname{div}(u \hat{\nabla} u) \, dx \right\} \\ &\quad - \frac{1}{2h} \int_{\eta < \varphi < \eta+h} \varphi^2 \operatorname{div}(u \hat{\nabla} u) \, dx \\ &= -\frac{1}{2h} \int_{\eta < \varphi < \eta+h} (\varphi^2 - \eta^2) \operatorname{div}(u \hat{\nabla} u) \, dx - (\eta + h/2) \int_{\varphi > \eta+h} \operatorname{div}(u \hat{\nabla} u) \, dx. \end{aligned}$$

It is easy to check that in the set $\{\eta < \varphi < \eta + h\}$ we have $0 \leq \frac{\varphi^2 - \eta^2}{2h} \leq \eta + \frac{h}{2}$, and, hence,

$$|I| \leq (\eta + h/2) \int_{\varphi > \eta} |\operatorname{div}(u \hat{\nabla} u)| \, dx \tag{12}$$

By putting together (9), (10) and (12), we have that, for ζ given by (8)

$$\begin{aligned} \int_{\Omega} \varphi \hat{\nabla} u \cdot \hat{\nabla} \zeta \, dx &\geq -|I| + \frac{1}{h} \int_{\eta < \varphi < \eta+h} \varphi(\varphi - \eta) |\hat{\nabla} u|^2 \, dx + \int_{\varphi > \eta+h} \varphi |\hat{\nabla} u|^2 \, dx \\ &\geq -(\eta + h/2) \int_{\varphi > \eta} |\operatorname{div}(u \hat{\nabla} u)| \, dx + \int_{\varphi > \eta+h} \varphi |\hat{\nabla} u|^2 \, dx. \end{aligned} \tag{13}$$

Let us now estimate the RHS of (7) for ζ given by (8).

We have

$$\begin{aligned} \int_{\Omega} \lambda \operatorname{div}(u - v) \operatorname{div} \zeta \, dx &= \int_{\eta < \varphi < \eta+h} \lambda \operatorname{div}(u - v) \left[\frac{\varphi - \eta}{h} \operatorname{div}(u) + \frac{\nabla \varphi \cdot u}{h} \right] \, dx + \\ &\quad + \int_{\varphi > \eta+h} \lambda \operatorname{div}(u - v) \operatorname{div}(u) \, dx \end{aligned}$$

then, by Assumption 2.2,

$$\left| \int_{\Omega} \lambda \operatorname{div}(u - v) \operatorname{div} \zeta \, dx \right| \leq M \left(\int_{\varphi > \eta} |\operatorname{div}(u - v) \operatorname{div} u| \, dx + \frac{1}{h} \int_{\varphi > \eta+h} |\operatorname{div}(u - v)| |\nabla \varphi| |u| \, dx \right) \tag{14}$$

We proceed in the same way to estimate

$$\begin{aligned} \int_{\Omega} 2\mu_2 \hat{\nabla}(u - v) \cdot \hat{\nabla} \zeta \, dx &= \frac{1}{h} \int_{\eta < \varphi < \eta+h} \mu_2 \hat{\nabla}(u - v) \cdot [\nabla \varphi \otimes u + u \otimes \nabla \varphi + 2(\varphi - \eta) \hat{\nabla} u] \, dx \\ &+ \int_{\varphi > \eta+h} 2\mu_2 \hat{\nabla}(u - v) \cdot \hat{\nabla} u \, dx \\ &= \frac{1}{h} \int_{\eta < \varphi < \eta+h} 2\mu_2 (\nabla \varphi)^T \hat{\nabla}(u - v) u \, dx + \\ &+ \frac{1}{h} \int_{\eta < \varphi < \eta+h} 2\mu_2 (\varphi - \eta) \hat{\nabla}(u - v) \cdot \hat{\nabla} u \, dx + \\ &+ \int_{\varphi > \eta+h} 2\mu_2 \hat{\nabla}(u - v) \cdot \hat{\nabla} u \, dx. \end{aligned}$$

By Assumption 2.2, we get

$$\begin{aligned} \left| \int_{\Omega} 2\mu_2 \hat{\nabla}(u - v) \cdot \hat{\nabla} \zeta \, dx \right| &\leq \frac{2M}{h} \int_{\eta < \varphi < \eta+h} |(\nabla \varphi)| |\hat{\nabla}(u - v) u| \, dx \\ &+ 2M \int_{\varphi > \eta} |\hat{\nabla}(u - v) \cdot \hat{\nabla} u| \, dx \end{aligned} \tag{15}$$

Finally, by putting together (13), (7), (14) and (15) we get

$$\begin{aligned} \int_{\varphi > \eta+h} \varphi |\hat{\nabla} u|^2 \, dx &\leq \left(\eta + \frac{h}{2} \right) \int_{\varphi > \eta} |\operatorname{div}(u \hat{\nabla} u)| \, dx + \\ &+ \frac{M}{2} \left(\int_{\varphi > \eta} |\operatorname{div}(u - v) \operatorname{div} u| \, dx + \frac{1}{h} \int_{\varphi > \eta+h} |\operatorname{div}(u - v)| |\nabla \varphi| |u| \, dx \right) \\ &+ M \left(\int_{\varphi > \eta} |\hat{\nabla}(u - v) \cdot \hat{\nabla} u| \, dx + \frac{1}{h} \int_{\eta < \varphi < \eta+h} |(\nabla \varphi)| |\hat{\nabla}(u - v) u| \, dx \right). \end{aligned}$$

If we use $-\varphi$ instead of φ we find a similar estimate. Then, merging the two, we get

$$\begin{aligned}
 \int_{|\varphi|>\eta+h} |\varphi| |\hat{\nabla}u|^2 dx &\leq \left(\eta + \frac{h}{2}\right) \int_{|\varphi|>\eta} |\operatorname{div}(u\hat{\nabla}u)| dx + \\
 &+ \frac{M}{2} \left(\int_{|\varphi|>\eta} |\operatorname{div}(u-v)\operatorname{div}u| dx + \frac{1}{h} \int_{|\varphi|>\eta+h} |\operatorname{div}(u-v)| |\nabla\varphi| |u| dx \right) \\
 &+ M \left(\int_{|\varphi|>\eta} |\hat{\nabla}(u-v) \cdot \hat{\nabla}u| dx + \frac{1}{h} \int_{\eta<|\varphi|<\eta+h} |(\nabla\varphi)| |\hat{\nabla}(u-v)u| dx \right)
 \end{aligned} \tag{16}$$

Since

$$\int_{|\varphi|<\eta+h} |\varphi| |\hat{\nabla}u|^2 dx \leq (\eta+h) \int_{|\varphi|<\eta+h} |\hat{\nabla}u|^2 dx \leq (\eta+h) \int_{\Omega} |\hat{\nabla}u|^2 dx$$

we obtain, from (16)

$$\begin{aligned}
 \int_{\Omega} |\varphi| |\hat{\nabla}u|^2 dx &\leq (\eta+h) \int_{\Omega} |\hat{\nabla}u|^2 dx + \left(\eta + \frac{h}{2}\right) \int_{\Omega} |\operatorname{div}(u\hat{\nabla}u)| dx + \\
 &+ \frac{M}{2} \left(\int_{\Omega} |\operatorname{div}(u-v)\operatorname{div}u| dx + \frac{1}{h} \int_{\Omega} |\operatorname{div}(u-v)| |\nabla\varphi| |u| dx \right) \\
 &+ M \left(\int_{\Omega} |\hat{\nabla}(u-v) \cdot \hat{\nabla}u| dx + \frac{1}{h} \int_{\Omega} |\nabla\varphi| |\hat{\nabla}(u-v)u| dx \right)
 \end{aligned} \tag{17}$$

By [21, Theorem 7.1, chap. 3], we have

$$\|u\|_{H^2(\Omega)} \leq C \|g\|_{H^{3/2}(\partial\Omega)}$$

and, since $|\nabla\varphi| \leq 2M$ by (2.2), by Hölder inequality, we can easily get from (17) that

$$\begin{aligned}
 \int_{\Omega} |\varphi| |\hat{\nabla}u|^2 dx &\leq C \|g\|_{3/2} \left\{ (3\eta + 2h) \|g\|_{3/2} \right. \\
 &\left. + 2M \left(1 + \frac{2M}{h} \right) \left(\|\operatorname{div}(u-v)\|_{L^2(\Omega)} + \|\hat{\nabla}(u-v)\|_{L^2(\Omega)} \right) \right\}
 \end{aligned} \tag{18}$$

where $\|g\|_{3/2} = \|g\|_{H^{3/2}(\partial\Omega)}$.

Let us notice that, by using an interpolation inequality (see, for example [15, Theorem 7.28]), if we denote by D any partial derivative of first order, we have

$$\begin{aligned}
 \|D(u-v)\|_{L^2(\Omega)} &\leq \varepsilon \|u-v\|_{H^2(\Omega)} + \frac{C}{\varepsilon} \|u-v\|_{L^2(\Omega)} \\
 &\leq C \left[\varepsilon (\|g\|_{3/2} + \|k\|_{3/2}) + \frac{1}{\varepsilon} \|u-v\|_{L^2(\Omega)} \right]
 \end{aligned} \tag{19}$$

Now choose $\varepsilon = \|u-v\|_{L^2(\Omega)}^{1/2}$ and we get

$$\|D(u - v)\|_{L^2(\Omega)} \leq C (\|g\|_{3/2} + \|k\|_{3/2} + 1) \|u - v\|_{L^2(\Omega)}^{1/2}, \tag{20}$$

with C depending only on $M_0, r_0, M, \alpha_0, \beta_0$.

By (18) and (20) we have

$$\int_{\Omega} |\varphi| |\hat{\nabla} u|^2 dx \leq C \|g\|_{3/2} \left\{ (3\eta + 2h) \|g\|_{3/2} + 3M \left(1 + \frac{M}{h} \right) (\|g\|_{3/2} + \|k\|_{3/2} + 1) \|u - v\|_{L^2(\Omega)}^{1/2} \right\} \tag{21}$$

We finally choose $h = \|u - v\|_{L^2(\Omega)}^{1/4}$ and get (6). \square

5. Quantitative estimates of unique continuation

Most of the results that we state and prove in this section are already known for solutions of the Lamé system, but they are usually stated in terms of Neumann boundary conditions. We want here to use Dirichlet boundary conditions that are better related to the internal measurements we are going to use.

Theorem 5.1 (Three sphere inequality for $|\hat{\nabla} u|$). Under Assumption 2.2, there exists $\theta \in (0, 1]$ depending only on α_0, β_0 and M such that for every $u \in H^1(B_R)$ solution to the equation

$$\operatorname{div}(C\hat{\nabla} u) = 0$$

and for every r_1, r_2, r_3 such that $0 < r_1 < r_2 < r_3 < \theta R$ we have

$$\int_{B_{r_2}} |\hat{\nabla} u|^2 dx \leq C \left(\int_{B_{r_1}} |\hat{\nabla} u|^2 dx \right)^{\delta} \left(\int_{B_{r_3}} |\hat{\nabla} u|^2 dx \right)^{1-\delta}, \tag{22}$$

where $C > 0$ and $\delta \in (0, 1)$ depend only on $\alpha_0, \beta_0, M, r_1/r_3$ and r_2/r_3 .

Proof. The proof of this estimates goes along the same lines of the proof of Corollary 3.3 in [6]. The regularity of the Lamé coefficients can be lowered by starting from the three spheres inequality for the solution proved in [19, Theorem 1.1]. \square

Theorem 5.2 (Lipschitz Propagation of Smallness). Under Assumptions 2.1, 2.2 and 2.3, let $u \in H^1(\Omega)$ be solution to (3). Then, for every $\rho > 0$ and for every $x \in \Omega_{5\rho}$, we have

$$\int_{B_{\rho}(x)} |\hat{\nabla} u|^2 dx \geq C_{\rho} \int_{\Omega} |\hat{\nabla} u|^2 dx, \tag{23}$$

where C_{ρ} depends on $\alpha_0, \beta_0, M, r_0, M_0, |\Omega|, F[g]$, and ρ .

Proof. The proof follows essentially the same lines of the proof of Proposition 4.1 in [6]. First of all, as in Lemma 4.2 in [6], by Hölder inequality and Sobolev inequality we can estimate

$$\int_{\Omega \setminus \Omega_{5\rho/8}} |\hat{\nabla} u|^2 dx \leq C \rho^{1/n} \|g\|_{H^1(\partial\Omega)}^2. \tag{24}$$

The only difference consists in substituting inequality (4.6) in [6] with the trace estimate

$$\|u\|_{H^{3/2}(\Omega)} \leq C\|g\|_{H^1(\partial\Omega)}.$$

As in (4.12) in [6], by using a suitable chain of balls and the three spheres inequality (Theorem 5.1) we get

$$\frac{\|\hat{\nabla}u\|_{L^2(\Omega_{5\rho/8})}}{\|\hat{\nabla}u\|_{L^2(\Omega)}} \leq \frac{C}{\rho^{n/2}} \left(\frac{\|\hat{\nabla}u\|_{L^2(B_\rho(x))}}{\|\hat{\nabla}u\|_{L^2(\Omega)}} \right)^{\delta^L} \tag{25}$$

where C and δ depends only on α_0, β_0, M and $|\Omega|$, whereas $L \leq \frac{|\Omega|}{\omega_n \rho^n}$.

By (24), we have

$$\frac{\|\hat{\nabla}u\|_{L^2(\Omega_{5\rho/8})}}{\|\hat{\nabla}u\|_{L^2(\Omega)}} = 1 - \frac{\|\hat{\nabla}u\|_{L^2(\Omega \setminus \Omega_{5\rho/8})}}{\|\hat{\nabla}u\|_{L^2(\Omega)}} \geq 1 - \frac{C\rho^{1/n}\|g\|_{H^1(\partial\Omega)}}{\|\hat{\nabla}u\|_{L^2(\Omega)}}.$$

Now, we need to estimate $\|\hat{\nabla}u\|_{L^2(\Omega)}$ from below. Let us set

$$\bar{a} = \frac{1}{|\Omega|} \int_{\Omega} u \, dx, \quad \bar{W} = \frac{1}{|\Omega|} \int_{\Omega} \hat{\nabla}u \, dx. \tag{26}$$

By trace inequality and Korn inequality we have

$$\|g - (\bar{a} + \bar{W}x)\|_{H^{1/2}(\partial\Omega)} \leq C\|u - (\bar{a} + \bar{W}x)\|_{H^1(\Omega)} \leq C\|\hat{\nabla}u\|_{L^2(\Omega)}, \tag{27}$$

and, hence,

$$\frac{\|\hat{\nabla}u\|_{L^2(\Omega_{5\rho/8})}}{\|\hat{\nabla}u\|_{L^2(\Omega)}} \geq 1 - \frac{C\rho^{1/n}\|g\|_{H^1(\partial\Omega)}}{\|g - (\bar{a} + \bar{W}x)\|_{H^{1/2}(\partial\Omega)}} \geq 1 - C\rho^{1/n}F[g]. \tag{28}$$

Let us take $\bar{\rho}$ such that

$$1 - C\bar{\rho}^{1/n}F[g] \geq \frac{1}{2}$$

so that, by (25) and (28) the thesis (23) follows for $\rho \leq \bar{\rho}$. For larger values of ρ inequality (23) is trivial. \square

Now, we need a doubling inequality for $\hat{\nabla}u$. We start with recalling a doubling inequality for u that corresponds to [17, Theorem 1.2] (see also [7] for similar results).

Theorem 5.3. *Under Assumption 2.2, there exists a positive constant C such that for every $v \in H^1(B_{2R})$ solution to $\text{div}(C\hat{\nabla}v) = 0$ we have*

$$\int_{B_{2r}(x)} |v|^2 dx \leq C \int_{B_r(x)} |v|^2 dx \tag{29}$$

for every $B_{2r}(x) \subset B_{R/2}$ and with C depending on α_0, β_0, M and increasingly on

$$F_{loc} = \frac{\|v\|_{L^2(B_{2R} \setminus B_R)}}{\|v\|_{L^2(B_R \setminus B_{R/2})}}$$

Theorem 5.4. Under Assumptions 2.1, 2.2 and 2.3, let u be a solution to (3). Then, for every $x_0 \in \Omega_d$ and $0 < r \leq d$,

$$\int_{B_r(x_0)} |\hat{\nabla}u|^2 dx \geq C_d \left(\frac{r}{d}\right)^K \|g\|_{H^{1/2}(\partial\Omega)}^2, \tag{30}$$

where C_d and K depend on $\alpha_0, \beta_0, M, r_0, M_0, |\Omega|$ and K depends also on $F[g]$.

Proof. Let

$$v = u - c_r - W_r(x - x_0)$$

where

$$c_r = \frac{1}{|B_r(x_0)|} \int_{B_r(x_0)} u dx \text{ and } W_r = \frac{1}{|B_r(x_0)|} \int_{B_r(x_0)} \hat{\nabla}u dx.$$

Since function v is still a solution of equation $\text{div}(\mathbb{C}_1 \hat{\nabla}v) = 0$ in Ω , by Caccioppoli inequality (see [6, Lemma 3.4]) we have

$$\int_{B_{3r/2}(x_0)} |\nabla v|^2 dx \leq \frac{C}{r^2} \int_{B_{2r}(x_0)} |v|^2 dx,$$

where C depends only on α_0, β_0 and M , hence, trivially,

$$\int_{B_{3r/2}(x_0)} |\hat{\nabla}u|^2 dx = \int_{B_{3r/2}(x_0)} |\hat{\nabla}v|^2 dx \leq \frac{C}{r^2} \int_{B_{2r}(x_0)} |v|^2 dx. \tag{31}$$

By Korn inequality (see [6, Lemma 3.5])

$$\int_{B_r(x_0)} |v|^2 dx = \int_{B_r(x_0)} |u - c_r - W_r(x - x_0)|^2 dx \leq Cr^2 \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx. \tag{32}$$

By (29), (31) and (32) we have

$$\int_{B_{3r/2}(x_0)} |\hat{\nabla}u|^2 dx \leq C \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx \tag{33}$$

where C depends on $\alpha_0, \beta_0, M, r_0, M_0, |\Omega|$ and increasingly on

$$F_{r,loc} = \frac{\|u - c_r - W_r(x - x_0)\|_{L^2(B_{2R} \setminus B_R)}}{\|u - c_r - W_r(x - x_0)\|_{L^2(B_R \setminus B_{R/2})}}.$$

Now, we need to bound $F_{r,loc}$ from above independently of r . First of all we notice that,

$$|c_r| \leq \|u\|_{L^\infty(B_r(x_0))} \leq \|u\|_{L^\infty(B_{2R})}, \text{ and } |W_r| \leq \|\nabla u\|_{L^\infty(B_r(x_0))} \leq \|\nabla u\|_{L^\infty(B_{2R})},$$

hence, by internal regularity estimates (see, for example [12]) and [21, Theorem 4.2, chap. 3],

$$\begin{aligned} \|u - c_r - W_r(x - x_0)\|_{L^2(B_{2R} \setminus B_R)} &\leq C (\|u\|_{L^\infty(B_{2R})} + \|\nabla u\|_{L^\infty(B_{2R})}) \\ &\leq C \|u\|_{H^1(\Omega)} \leq C \|g\|_{H^{1/2}(\partial\Omega)}. \end{aligned} \tag{34}$$

Let us now consider a ball $B_{r_1}(\bar{x}) \subset B_R \setminus B_{R/2}$ with $r_1 = \max\{d/5, R/4\}$ and notice that, by Caccioppoli inequality,

$$\begin{aligned} \int_{B_R \setminus B_{R/2}} |u - c_r - W_r(x - x_0)|^2 dx &\geq \int_{B_{r_1}(\bar{x})} |u - c_r - W_r(x - x_0)|^2 dx \\ &\geq \frac{r_1^2}{C} \int_{B_{\frac{r_1}{2}}(\bar{x})} |\nabla(u - c_r - W_r(x - x_0))|^2 dx \\ &\geq \frac{r_1^2}{C} \int_{B_{\frac{r_1}{2}}(\bar{x})} |\hat{\nabla}u|^2 dx. \end{aligned} \tag{35}$$

By (23),

$$\int_{B_{\frac{r_1}{2}}(\bar{x})} |\hat{\nabla}u|^2 dx \geq C_{r_1} \int_{\Omega} |\hat{\nabla}u|^2 dx.$$

Arguing as in the proof of Theorem 5.2, by (27), we have

$$\int_{B_{\frac{r_1}{2}}(\bar{x})} |\hat{\nabla}u|^2 dx \geq C_{r_1} \|g - (\bar{a} + \overline{W}x)\|_{H^{1/2}(\partial\Omega)}^2, \tag{36}$$

and, hence, by (34), (35) and (36)

$$F_{r,loc} \leq CF[g] \tag{37}$$

where C does not depend on r .

Then, by (33) and (37),

$$\int_{B_{3r/2}(x_0)} |\hat{\nabla}u|^2 dx \leq C \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx \tag{38}$$

where C depends on $\alpha_0, \beta_0, M, r_0, M_0, |\Omega|$ and increasingly on $F[g]$.

Once the doubling inequality (38) for $\hat{\nabla}u$ is obtained, the polynomial rate of $\int_{B_r(x_0)} |\hat{\nabla}u|^2 dx$ can be easily obtained by iteration (see [5, Remark 4.11] for a similar procedure). \square

6. Proof of Theorem 3.1

Here we follow an argument already used in [8] (see e.g. proof of Theorem 3.1). Let us set again $\varphi = \mu_1 - \mu_2$. By (6) we obtain

$$\int_{\Omega_d} |\varphi| |\widehat{\nabla}u|^2 dx \leq \varepsilon^2,$$

where

$$\varepsilon^2 = C \left(\|\mu_1 - \mu_2\|_{L^\infty(\partial\Omega)} + \|u - v\|_{L^2(\Omega)}^{1/4} \right)$$

Now, let $x_0 \in \Omega_d$ be such that $|\varphi(x_0)| = \max_{\bar{\Omega}_d} |\varphi|$. Using the Lipschitz assumption on μ_1, μ_2 we obtain

$$|\varphi(x_0)| \leq |\varphi(x)| + 2Mr \quad \forall x \in B_r(x_0), r \in (0, d].$$

Multiplying both sides by $|\hat{\nabla}u|^2$ and integrating over $B_r(x_0)$ we get

$$\begin{aligned} |\varphi(x_0)| \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx &\leq \int_{B_r(x_0)} |\varphi(x)| |\hat{\nabla}u|^2 dx + 2Mr \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx \\ &\leq \int_{\Omega} |\hat{\nabla}u|^2 dx + 2Mr \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx \\ &\leq \varepsilon^2 + 2Mr \int_{B_r(x_0)} |\hat{\nabla}u|^2 dx. \end{aligned}$$

Then,

$$|\varphi(x_0)| \leq \frac{\varepsilon^2}{\int_{B_r(x_0)} |\hat{\nabla}u|^2 dx} + 2Mr.$$

Now we use (30) and set $N_1 = \left(C_d \|g\|_{H^{1/2}(\partial\Omega)}^2 \right)^{-1}$, $N_2 = \log_2 K$, as to get

$$|\varphi(x_0)| \leq N_1 \left(\frac{d}{r} \right)^{N_2} \varepsilon^2 + 2Mr \quad \forall r \in (0, d].$$

Finally, by setting $\lambda = \frac{r}{d}$ we obtain

$$|\varphi(x_0)| \leq N_1 \lambda^{-N_2} \varepsilon^2 + 2Md\lambda \quad \forall \lambda \in (0, 1].$$

Let

$$\bar{\lambda} = \left(\frac{N_1 \varepsilon^2}{2Md} \right)^{1/(N_2+1)}.$$

If $\bar{\lambda} \leq 1$ we choose $\lambda = \bar{\lambda}$ and get

$$|\varphi(x_0)| \leq 2 \left(N_1 \varepsilon^2 \right)^{1/(N_2+1)} (2Md)^{N_2/(N_2+1)}. \tag{39}$$

If $\bar{\lambda} > 1$ we immediately get

$$|\varphi(x_0)| \leq 2M \leq 2M \left(\frac{N_1 \varepsilon^2}{2Md} \right)^{1/(N_2+1)}$$

from which together with (39) we obtain (5). \square

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